

Origins of correlated spiking in the mammalian olfactory bulb

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Mitral/tufted (M/T) cells of the main olfactory bulb transmit odorant information to higher brain structures. The relative timing of action potentials across M/T cells has been proposed to encode this information and to be critical for the activation of downstream neurons. Using ensemble recordings from the mouse olfactory bulb in vivo, we measured how correlations between cells are shaped by stimulus (odor) identity, common respiratory drive, and other cells' activity. The shared respiration cycle is the largest source of correlated firing, but even after accounting for all observable factors a residual positive noise correlation was observed. Noise correlation was maximal on a ~100-ms timescale and was seen only in cells separated by <200 μm. This correlation is explained primarily by common activity in groups of nearby cells. Thus, M/T-cell correlation principally reflects respiratory modulation and sparse, local network connectivity, with odor identity accounting for a minor component.

olfaction | synchrony | sensory | statistics

Mitral/tufted cells (M/Ts) of the olfactory bulb (OB) receive odor-evoked activity from sensory neurons and transmit it to central brain structures. Thus, understanding how odor information is represented by these neurons' activity is essential to understanding olfactory coding. Studying coding properties at this stage in the olfactory system is particularly interesting because the small number of M/Ts (~50,000) compared with sensory neurons (~10 million) or olfactory cortical neurons (~2 million) suggests that this stage represents a bottleneck (1).

Odor information is encoded in the spatial pattern of activity across the OB (2). However, the timing of M/T activity may also play a crucial role in odor representation. Individual M/Ts fire odor-specific patterns of spikes (3), and spike timing across populations of M/Ts relative to the respiration cycle has been proposed as an olfactory code (4, 5). However, whether odor identity influences the correlation of M/T activity (i.e., the tendency of neurons to spike together) has not been specifically addressed.

Ensemble firing patterns better predict odorant identity than do single neuron firing rates alone (6, 7), suggesting the utility of a population timing code. Additionally, learned olfactory behaviors are associated with increased M/T spike synchrony (8), and disrupting this synchrony in insect M/T analogs reduces odor discriminability (9). Furthermore, analysis of neural correlations has informed our understanding of the relationship between neural circuits and population activity and has constrained hypotheses concerning "decoding" of incoming population activity by downstream areas (10).

Here, we evaluated how relative M/T timing depends upon odor identity and timing, respiration phase (inhalation/exhalation), and other neurons' spiking. Correlated spiking in the OB is familiar (11, 12), but how these correlations depend on such variables is unknown. Correlations may originate in common stimulus or respiration phase preferences ("signal correlation"). Cell pairs' spiking may also exhibit covariation beyond that predicted from such preferences ("noise correlation," R_{noise}) and may reflect correlated input noise or synaptic coupling between cells (13, 14). In *Xenopus* and *Drosophila*, M/Ts and their analogs exhibit significant noise correlation (15, 16). However, the origins, magnitude, and scope of such correlations have not been described in the mammalian OB.

Critically, correlation driven by respiration or population activity in the local circuit has not been estimated, yet this is required to understand the sources and possible functions of OB correlations, and the theoretical coding capacity and mechanisms of OB neural ensembles (17, 18). We contrast our analysis to the computation of trial-averaged population response correlations (i.e., "pattern correlations"). Our approach is more analogous to that of, for example, Kazama and Wilson (16): We address within-trial spike-timing correlations between cell pairs rather than correlations between trial-averaged responses to different odorants (19).

We made ensemble recordings from mouse OB during odor presentation. From these recordings we isolated contributions of several olfactory variables to spiking in individual neurons and to intercell correlation. Respiration phase tuning accounts for much correlation, whereas some nearby cell pairs exhibit small, positive R_{noise} , independent of the stimulus. Conditional on the activity of the larger population, functional coupling between cells is sparse overall, with significant implications for olfactory coding.

Results

Tetrodes were placed in the OB of anesthetized mice ($n = 4$ mice) to record M/T spiking. Single-unit activity was detected on each tetrode in the mitral cell layer, and several dozen units (37–64) were isolated for further analysis. The respiration cycle, which lasted 300–500 ms (2–3 Hz), was characterized by clear epochs of inhalation and exhalation (Fig. 1*A* and *B*). The firing rates of M/Ts strongly covary with the phase of this cycle (20). Our data showed that most cells (63.2%) exhibited at least two-thirds of their spikes in half of the cycle, with most firing maximally just after the boundary between the end of inspiration and the onset of expiration (Fig. 1*C* and Fig. S1). Modulation by respiration was usually stronger than modulation by odor (Fig. 1*D*).

Significance

Neurons exhibit temporally correlated patterns of activity, and the brain is believed to process information in part by exploiting these correlations. Here we use new analytic tools to show that in the olfactory bulb, the first processing station for smell in mammals, these correlations emerge primarily from the animal's own breathing pattern, and also from the sparse connectivity of the cells that ultimately transmit olfactory information to higher brain areas. These results inform our understanding of how, and how well, the brain can represent information about smell and provide insight into the importance of active sampling processes in sensory coding.

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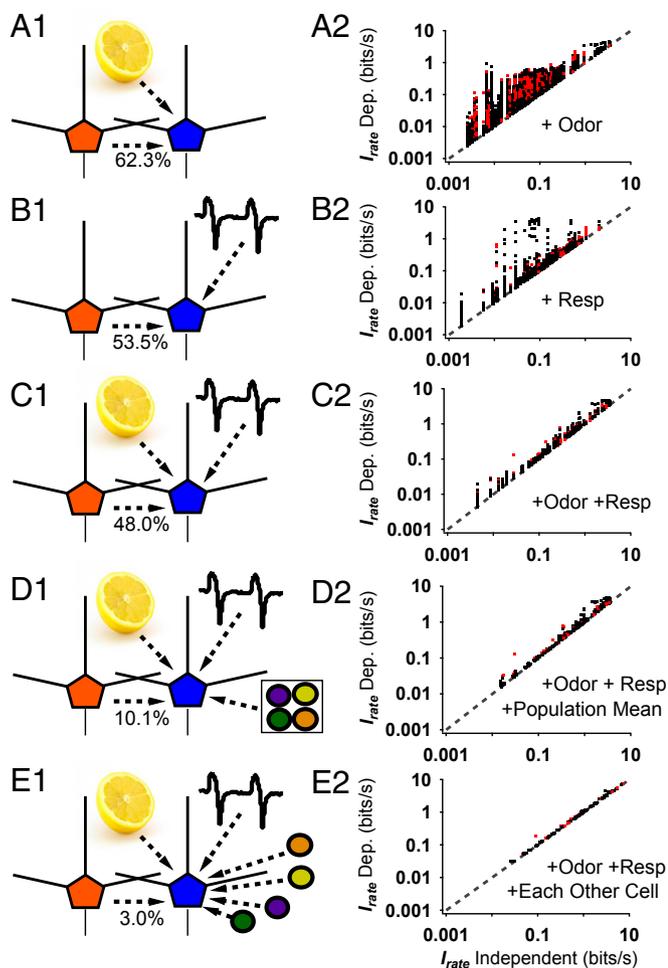


Fig. 4. Sparse functional connectivity in the M/T cell network. (A1) Schematic of two M/T cells, indicating a model in which odor identity and time course, plus spiking of the orange cell, are the only factors used to predict spiking in the blue cell. The fraction of cell pairs in which such a potential functional connection (orange to blue) is indicated by the spiking data is shown below the dashed arrow. (B1) Same as A1 but with respiration phase as a predictor instead of odor. Fewer other cells (orange) are useful in predicting the spiking of a given cell (blue) when respiration phase is known, so the functional connectivity decreases (i.e., more cell pairs are conditionally independent given respiration than given odor). (C1) Similar to A1 and B1, but with both respiration and odor as predictors. (D1) Same as C1, but including population mean firing rate. (E1) Same as C1, but including the instantaneous firing rate of each other simultaneously recorded cell. In this final case, functional connectivity is only indicated if two cells' spike patterns are conditionally dependent when conditioned upon all other observable data recorded in an experiment. (A2–E2) Comparison of information gain (compared with a naive mean firing rate model) for the dependent model (i.e., including the orange-to-blue associations) vs. the independent model (does not include the orange-to-blue associations). Points falling on the diagonal line indicate cell pairs that were conditionally independent given the other model factors. Red and black dots represent NEAR and FAR cell pairs, respectively.

respiration or odor, and summarized only by the population mean firing rate—explained much of these neurons' activity.

If functional connections (i.e., statistical dependencies) between cell pairs are rare, then a cell ensemble is described as sparsely coupled. We fit a complete model for each cell in which the individual spiking pattern of each other cell was a separate factor. This model, containing disaggregated information about firing patterns of dozens of other neurons, was more predictive than the model using population mean firing rates (Fig. 3C). Indeed, in this analysis only 3.0% of pairs of cells remained

conditionally dependent (Fig. 4E). In other words, given knowledge of respiration and odor context, and a large number of other cells' spike patterns, further knowing the spiking pattern of cell *Y* only helps to predict spiking in cell *X* in 3% of cell pairs.

Discussion

Mammalian M/T cell pairs *in vivo* exhibit correlated spiking at timescales of tens to hundreds of milliseconds. We analyzed the sources of this correlation and show that it is driven largely by similarity in preferred respiration phase, but that controlling for this factor leaves significant noise correlation (R_{noise}). R_{noise} (and broad-band coherence) is negligible for cells recorded on tetrodes separated by $\geq 150 \mu\text{m}$ (FAR) but significantly positive for approximately half of cell pairs recorded on the same tetrode (NEAR). NEAR correlation is largely but not totally explained by the covariation of each cell's spiking with the population response, rather than by pairwise relationships between specific cells. However, the sparse functional coupling we observe should not be interpreted as a paucity of anatomical coupling, just as, conversely, a small probability of pairwise synaptic connectivity is sufficient to generate correlation in all cell pairs (26). Nonetheless, it implies that very few M/T cell pairs have patterns of activity associated in time as strongly as one might predict under direct anatomical coupling.

Local Circuits Mediating NEAR Noise Correlation. What circuits underlie noise correlation in NEAR cell pairs? Anatomical analysis indicates that cells $>200 \mu\text{m}$ apart are unlikely to be sister cells (receiving input from the same glomerulus) (27–29). Together with our electrode configuration, this implies that FAR cells are unlikely to be sisters, and that most sister cells in our dataset are NEAR cells (*Supporting Information* and Fig. S8).

Whereas receiving input from the same glomerulus would certainly explain signal correlation in M/T cell pairs, nonzero R_{noise} owing to shared sensory input would require shared input noise on the timescale where R_{noise} is maximal ($\sim 100 \text{ms}$, Fig. 2A), and possibly other timescales (Fig. 2F). Synaptic depression may provide such a mechanism (16), or NEAR M/T cell pairs may share lateral intraglomerular circuitry, perhaps from shared stochastic inhibition from common periglomerular cells. Analogous circuitry requirements have been suggested in visual cortex to explain the distance dependence of R_{noise} (21). Raw cross-correlation is elevated in NEAR cells in other olfactory preparations (16, 30, 31), and noise correlation in sister cells has been measured in nonmammalian olfactory structures (15, 16). Alternatively, finite dendritic length in granule cells, which limits the range of connectivity (32), may also introduce spatially dependent correlations. Barrages of inhibitory postsynaptic currents from granule cells can last $\sim 100 \text{ms}$, owing in part to the temporally distributed activity of granule cells (33). If these barrages are correlated across sister M/Ts, they could generate R_{noise} on the timescale observed here (34). Indeed, nearby cells show higher-order and stronger correlations than distant cells in cortical circuits (21, 35).

Nonzero correlation for NEAR pairs is not an artifact of spike sorting (Fig. S5). In cases in which R_{noise} is zero (36), as in FAR pairs here, proposed mechanisms are (i) lack of input correlation or (ii) active decorrelation by circuits (14, 37). These could be distinguished by measuring subthreshold responses from sister and nonsister M/T cell pairs *in vivo*.

Stimulus Dependence of Correlation. We found no evidence for a dependence of R_{noise} on the presence or timing of the odor stimulus. In part, this indicates that we have effectively separated signal and noise sources of correlation; indeed, raw correlation of M/T cell pairs typically rises upon odor presentation—but only to the degree expected from shared odor tuning preferences. Stimulus dependence of noise correlation has been observed in the visual system (21, but see ref. 38), although it is generated by special circuitry that the OB is unlikely to share. In the fly olfactory system (16), odor markedly increases noise correlation.

Because flies have just two sister projection neurons (M/T cell analogs) vs. dozens of sister M/T cells in a rodent glomerulus, stimulus-dependent increases in R_{noise} might be less important in the rodent olfactory system for coordinating a suprathreshold postsynaptic response in downstream targets, because there would already be sufficient drive from a larger population of inputs. One major downstream target of the mammalian OB is the anterior piriform cortex, where millions of neurons receive divergent output from the OB and noise correlation is low (39) and quenched completely by the stimulus (39). The further from the sensory periphery, the more important spike count and the less important spike timing within sampling “frames” (e.g., respiration cycles) may be (39); nonetheless, understanding the olfactory system precisely will require quantitative modeling of spiking activity at the appropriate timescale.

State-Dependent Sources of Correlation. Our experiments were performed under sevoflurane anesthetic, generating lower firing rates (40) and sparser glomerular activation (41) than in awake recordings (Table S1). However, M/T cell odor-evoked responses are similarly sparse under both sevoflurane-anesthetized and awake animals (40), and more sparse than under ketamine anesthesia (42). Patterns of direct glomerular activation in awake mice are similar to those under ketamine, but diverge at the infraglomerular level, possibly owing to state-dependent differences in activity along M/T cell lateral dendrites (43) or in effective coupling strength. Indeed, the strength of lateral inhibition depends nonlinearly on the firing rate of postsynaptic targets (44) and thus may vary across brain states with different firing rates.

Recordings in awake animals are also likely to be influenced by other endogenous sources of correlation, such as variable levels of arousal, resulting in increased apparent noise correlation; these variables may be behaviorally relevant (14, 45) but challenging to estimate (36). This increased variability in the awake state may be largely due to variability in the awake respiration pattern, because accounting for temporal dynamics in the bulb as a whole substantially reduces response variability across sniffs (43). This suggests that the techniques described here will be readily applicable to the study of awake, behaving mice.

Estimation of Noise Correlation in the OB. Estimating R_{noise} requires identifying and controlling for experimental variables [such as the stimulus or slow firing rate drift (38)] that cause covariation of responses. Systems using active sampling or in which slow fluctuations generate correlations across many cells pose a special challenge; active sampling will generate covariation of activity that may be uncorrelated with stimulus delivery. Computing R_{noise} in systems using active sampling such as the mammalian OB requires an additional technical innovation: accounting for the effect of a driving signal on observed correlation. This innovation, developed and applied here (*Methods* and *Supporting Information*) will be of general use when trying to control for the contribution of signals that may drive spiking and, by extension, correlation.

The effects of correlation on coding can be complex (34). Correlated spiking can help transmit a signal to postsynaptic targets via temporal summation of synaptic potentials. Even if correlation is unrelated to the stimulus, for example, R_{noise} , this mechanism may preferentially propagate signals coming from the most active cells (e.g., those responding to the stimulus) and thus aid the transmission of useful information. Intuitively, however, knowing whether a firing rate change is due to signal or noise is useful and is a valuable component of neural coding (10). For example, noise correlation can compromise information transmission by reducing the effective number of independent signal estimates (17). That is, when noise is correlated, it does not “average out” when pooling neurons. Even at the levels of R_{noise} reported here, information would begin to saturate in pools of as few as 25 neurons (17) (*Supporting Information*), comparable to the ~25 M/T cells receiving input from a single glomerulus in the

rodent OB (46), and far lower than the estimated 50,000 M/T cells across all glomeruli (46). An intriguing possibility is that levels of noise correlation are matched to population sizes, and larger populations (as in vertebrate sister M/T cells) require smaller values of R_{noise} than smaller populations (as in invertebrate sister projection neurons) to make use of numerical advantage for information transmission. However, when stimulus preferences are heterogeneous, the optimal R_{noise} —maximizing information content—is greater than zero (18). Indeed, M/T cells have heterogeneous response properties, owing in part to variable biophysical properties (47). Thus, nonzero noise correlation may be preferable by virtue of enabling more odor information to be faithfully transmitted.

Methods

Electrophysiology. Signals were amplified and filtered between 600–6,000 Hz and visualized and recorded using Cheetah software (Neuralynx). Units were sorted offline using Klustawik (<http://klustawik.sourceforge.net>). Units with an isolation distance >25 were considered to have good isolation (48); an example tetrode is shown in Fig. S5. There was no dependence ($r = 0.07$) of R_{noise} for NEAR pairs on their pairwise L ratio (48), ruling out spike-sorting artifacts for the NEAR cell pair results (14, 49). Data presented here are taken from the single ensemble of simultaneously recorded neurons in each animal (six to eight tetrodes per recording) yielding the largest number of high-quality single units. Total data used here are $n = 4$ animals and $n = 177$ single units.

Odor Delivery. In each experiment mice were presented with a series of four odor mixtures [odors A, B, E, and F from figure 2 of Bozza et al. (50), corresponding to alcohols, carboxylic acids, acetates, and ketones], each with eight mixture components present at 1–5% (8–40% total) in light mineral oil. Mixtures were chosen to increase single M/T cell responses (40) and because ethologically relevant odorants are rarely unimolecular. A fifth “blank” mixture contained only light mineral oil and served as a control; a pure odorant (10% isoamyl acetate) was also used for comparison.

Data Analysis. Respiration phase was computed using a continuous wavelet transform of the respiration signal, assessed at the respiration frequency, which varied across experiments between 2–3 Hz. This yielded a consistent phase estimate lacking discontinuities. Each cycle phase increased linearly in time from 0 to 2π , and cyclohistograms were computed using 10 equally spaced bins within each cycle. The respiration tuning index was computed as $(f_{\text{max}} - f_{\text{min}})/(f_{\text{max}} + f_{\text{min}})$, with f_{max} and f_{min} the firing rates at preferred and antipreferred respiration phases, respectively.

Correlation matrices come from single recordings, whereas summary histograms are averages across recordings. Cross-correlation functions were calculated with no corrections in 10-ms bins and use the Pearson correlation R of cells' spike count time series for a range of lags Δt . R as a function of bin size (Fig. S3 C and D) is calculated at $\Delta t = 0$. R_{noise} was computed according to the law of total covariance:

$$\text{cov}(X, Y) = E[\text{cov}(X, Y|Z)] + \text{cov}(E[X|Z], E[Y|Z]), \quad [1]$$

where cov denotes covariance and $E[X]$ denotes the expectation value of X . The total covariance $\text{cov}(X, Y)$ is the spike count covariance for cells X and Y (i.e., the covariance of spike count vectors for the entire recording session). The noise covariance, $\text{cov}(X, Y|Z)$, represents the spike count covariance conditional upon a factor Z , which represents known or “signal” experimental factors such as clock time, odor, and respiration phase. $E[X|Z]$ is the expectation of cell X 's spike count when these experimental factors take the value Z . This is estimated from data. For instance, if Z contains only odor identities (or only respiration phases) then $E[X|Z]$ is an odor tuning curve (or a cyclohistogram). When Z contains multiple signals Z_1, \dots, Z_n , we assume, consistent with a model in which covariates influence spike rates additively, that covariance adds linearly:

$$\text{cov}(E[X|Z], E[Y|Z]) = \text{cov}(E[X|Z_1], E[Y, Z_1]) + \dots + \text{cov}(E[X|Z_n], E[Y, Z_n]). \quad [2]$$

Once Eq. 1 has been solved for $E[\text{cov}(X, Y|Z)]$, an analogous procedure is applied to obtain $E[\text{var}(X|Z)]$ and $E[\text{var}(Y|Z)]$ and we compute R_{noise} as

$$R_{\text{noise}} = E[\text{cov}(X, Y|Z)] / \sqrt{\text{var}(X|Z) * \text{var}(Y|Z)}. \quad [3]$$

In summary, R_{noise} is obtained by subtracting covariances of conditional spike counts from total covariance and normalizing. This goes beyond the classical

R_{noise} calculation that considers only one time series, the stimulus time course (13). A full derivation and justifications for assumptions are given in [Supporting Information](#). The fraction of cell pairs exhibiting significant correlation was assessed using the false discovery rate (FDR) method (51), accounting for multiple comparisons.

Generalized Linear Model. The spike count model took the form

$$\ln(\mu_t) = \beta_0 + \beta_1 x_{1t} + \beta_2 x_{2t} + \dots, \quad [4]$$

where μ_t is the mean expected spike count in bin t , each x_{it} is an observation of covariate (i.e., factor) i in bin t , and each β_i is a coefficient obtained from fitting to data. Thus, linear combinations of covariates x_{it} , each of which are observed values or simple transformations thereof, yield a predicted log firing rate. This class of model is a generalization of linear regression, except with a logarithmic (instead of a linear) relationship between spike count and its predictors, and a Poisson (instead of Gaussian) assumption about remaining uncertainty (24, 25). The full model is described in [Supporting Information](#), but a key feature is the use of an exponentiated Fourier basis for respiration, $\sum_m [a_m \sin(m\theta_t) + b_m \cos(m\theta_t)]$ for $m = 1, 2, 3$, where θ_t is the respiration phase in bin t , and exponentiation implied by the logarithm in Eq. 4. Substitution of the identity $\cos(\theta - \theta_0) = \cos(\theta)\cos(\theta_0) - \sin(\theta)\sin(\theta_0)$ yields a higher-order generalization of the von Mises distribution for the respiration phase of spikes, which agrees remarkably well with the empirical spike phase distribution (Fig. 3B)—this feature of the model is what enables the

contribution of respiration to spiking to be cleanly separated from the contributions of other covariates.

Significant coupling of a cell Y to a reference cell X was assessed by fitting the model for X both with and without a term for spiking of Y , computing the deviance and comparing to a χ^2 distribution with one degree of freedom to obtain a P value. Cells exhibiting significant coupling to X were those with $P < \alpha$ (typically 0.01), that is, those significantly improving prediction of X 's spike counts, subject to the FDR method for multiple comparisons correction. Results for other values of α are shown in [Fig. S9](#).

ROC curves were constructed by fitting an alternative GLM, using a binary response variable (at least one spike vs. no spikes) and follow the method of Truccolo et al. (25), elaborated in [Fig. S7](#). This took the form

$$\ln(p_t/(1-p_t)) = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots \quad [5]$$

and was used because the concepts of "hits" and "misses" require a binary response. Predictive power is the area under the ROC curve.

Anatomical Analysis. Reanalysis of anatomical data (28, 29, 31) to estimate the relative positions of NEAR and FAR cell pairs is described in [Supporting Information](#) and [Fig. S8](#).

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